



ATTORNEY DOCKET NO. 10363/005001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Roger J. Davis et al.                      Art Unit: 1632  
Serial No.: 09/165,522                                      Examiner:  
Filed : October 2, 1998  
Title : JNK3 MODULATORS AND METHODS OF USE

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: Box Missing Part

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of  
Application under 37 CFR 1.53(b) mailed October 16, 1998 (a copy  
of which is enclosed), Applicant as a small entity submits  
herewith the following:

A Combined Declaration and Power of Attorney  
in compliance with 37 CFR 1.63.

A Verified Statement Claiming Small Entity  
Status forms (with two more to be filed at a  
later date).

Payment of the surcharge of \$65.00 for late filing of  
the declaration.

It is understood that this perfects the application and  
no additional papers or filing fees are required.

Date of Deposit December 16, 1998  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being  
deposited with the United States Postal Service as first class mail  
with sufficient postage on the date indicated above and is addressed to  
the Assistant Commissioner for Patents, Washington, D.C. 20231.

Carrie A. Amort

If there are any other charges, or any credits, please  
apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 12-16-98

J. Peter Fasse  
J. Peter Fasse  
Reg. No. 32,983

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

Telephone: 617/542-5070  
Facsimile: 617/542-8906  
345646.B11



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/165,522 10/02/98 DAVIS R 10363/005001

J. PETER FASSE  
FISH & RICHARDSON  
225 FRANKLIN STREET  
BOSTON MA 02110-2804

0232/1016

NOT ASSIGNED

1632

DATE MAILED:

10/15/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.
- \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
- \$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.
- \$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

10/15/98  
4/16/98  
12/1/98  
65.00  
00000019 09165522  
7/23/1998 CHORNG  
FC 205